



Secretary of State

Jason M. Gant

State Capitol | 500 East Capitol Avenue | Pierre, South Dakota 57501 | sdsos@state.sd.us | sdsos.gov

November 28, 2011

Paul A. Lindemann
Catholic United Financial
3499 Lexington Ave North
St. Paul, MN 55126

Dear Mr. Lindemann:

Our office is in receipt of your raffle request. The date of the filings is November 28, 2011. You may begin selling tickets 30 days after the filings, which would be December 28, 2011.

If there is any other way in which our office could assist you, please feel free to contact me at (605) 773-3537 or email me at Judy.Larson@state.sd.us. Thank you.

Sincerely,

Judy A. Larson
Executive Assistant



Administration

Tel: (605) 773-3537

Fax: (605) 773-6580

Corporations

Tel: (605) 773-4845

Fax: (605) 773-4550

Uniform Commercial Code

Tel: (605) 773-4422

Fax: (605) 773-4550



Every step, every journey, we're there for life.

November 23, 2011

Ms. Judy A. Larson, Administrative Assistant
State of South Dakota
Office of the Secretary of State
500 East Capitol Ave., Suite 204
Pierre, SD 57501-5070

RE: Catholic United Financial 2012 Catholic Schools Raffle (Drawing: March 1, 2012)
Minnesota Lawful Gambling Exempt Permit #X-92085

Dear Ms. Larson:

We will be conducting the Catholic United Financial (Catholic United) 2012 Catholic Schools Raffle (the Raffle) on March 1, 2012, with ticket sales beginning January 13, 2012. This letter is the required notice of the Raffle to the Office of the South Dakota Secretary of State. (Catholic United is a fraternal benefit society domiciled in the State of Minnesota that meets the requirements of SDCL 22-25-25(1).)

The purpose of the Raffle is to raise funds for Catholic education in Catholic United's marketing area. All prizes for the Raffle have been donated by Catholic United. All proceeds from the sale of raffle tickets will remain with the schools selling the tickets.

The drawing for the Raffle will take place at Catholic United's Home Office in Arden Hills, Minnesota on Thursday, March 1, 2012 at 11:00 a.m. I'm enclosing a copy of the Official Raffle Drawing Rules and a list of the prizes that will be awarded in the Raffle.

Please feel free to call me at 1-800-568-6670, extension 174, if you have additional questions or concerns.

Sincerely,

Paul A. Lindemann, CLU, FLMI, ACS
Compliance / Audit Specialist

Enclosures

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S.D. SEC. OF STATE

* Formerly Catholic Aid Association

Catholic United Financial 2012 Catholic Schools Raffle
Official Raffle Drawing Rules

1. **ELIGIBILITY** – An entrant to the Catholic United Financial 2012 Catholic Schools Raffle (this Raffle) must be 18 years of age or older and a legal resident of the United States (excluding its territories, overseas military installations and commonwealths) at the time of entry. Employees of Catholic United Financial (the Association), its affiliates, subsidiaries, advertising agencies, promotion agencies, members of their immediate families and persons residing in their homes, are not eligible. Void where prohibited.

2. **HOW TO ENTER** - To enter this Raffle, purchase a raffle ticket from a designated school raffle representative. Write all the required information on the ticket stub and give the stub to the designated school representative with payment by cash or check. **Make checks payable to the school selling the ticket(s). DO NOT make checks payable to Catholic United Financial. (All proceeds from this Raffle stay with the schools selling the tickets; none go to the Association.)** Only one raffle ticket must be purchased to enter this Raffle, but there is no limit to the number of times a person can enter. Entrants may only win one (1) prize in this Raffle.

3. **CONTEST PERIOD** - The ticket selling period for this Raffle begins at 12:01 A.M. on January 13, 2012 and ends at 11:59 P.M. on February 24, 2012.

4. **ADMINISTRATION** – The Association and its agencies shall not be liable for late, lost, misdirected, damaged, incomplete, illegible, or unintelligible entries. If the administration or integrity of this Raffle is compromised or corrupted at any time, the Association reserves the right to modify, suspend or cancel this Raffle. **(CAUTION: Any attempt by a contestant to deliberately damage any aspect of this Raffle or undermine its legitimate operation is a violation of criminal and civil laws. Should such an attempt be made, the Association reserves the right to seek damages from any such contestant to the fullest extent of the law.)**

5. **DRAWING** - Winners will be selected in a random drawing at 11 a.m. on Thursday, March 1, 2012 by a representative (or designee) of the Association at its Corporate Office in Arden Hills, Minnesota. Winners need not be present to win. Winners will be notified by telephone, electronic mail (e-mail) or regular mail by the Association on or about March 1, 2012. Each winner will have fourteen (14) days from notification to accept their prize per the requirements in *Section 8: REQUIREMENTS OF WINNERS*. The Association and its agencies shall not be liable for unsuccessful efforts to notify a winner. Odds of winning depend upon the number of eligible entries received.

6. **PRIZES** - One (1) Grand Prize winner will be selected and will receive their choice of (1) a 2012 Ford Escape automobile or (2) Twenty Five Thousand Dollars (\$25,000) in cash. Other prizes will be awarded as stated on the front of this raffle ticket. All prizes will be awarded "AS IS" and without warranty of any kind, express or implied, including without limitation, any implied warranty of merchantability or fitness for a particular purpose. No substitution, exchange or transfer of prizes or cash equivalent (except in the case of the Grand Prize, as stated above) is permitted by the winners. The Association reserves the right to substitute prizes of equal or greater value. The Association will ship/deliver all prizes to their respective winners, except in the case of the Grand Prize, where, if the Ford Escape automobile is selected, the Grand Prize

Winner will take delivery at a dealership designated by the Association. Winners are responsible for any and all taxes, fees, and surcharges on any prize awarded, including federal, state and local income taxes. The Association will, however, withhold amounts from prizes as required by applicable federal and state law.

7. RELEASE - By entering this Raffle, entrant releases the Association and any of its respective parent companies, subsidiaries, affiliates, directors, officers, employees and agencies from any liability whatsoever, and waives any and all causes of action, related to any claims, costs, injuries, losses, or damages of any kind arising out of or in connection with this drawing or acceptance, use of or inability to use, or participation in or inability to participate in this drawing, or use of or inability to use any prize (including, without limitation, claims, suits, injuries, losses and damages related to personal injuries, death, damage to or destruction of property, rights of publicity or privacy, defamation or portrayal in a false light, whether intentional or unintentional), whether under a theory of contract, tort (including negligence), warranty or other theory.

8. REQUIREMENTS OF WINNERS - Winners will be required to complete and return to the Association: 1) an Affidavit of Eligibility and Release from Liability, and 2) an Internal Revenue Service (IRS) Form W-9, within fourteen (14) days of receipt of such documents from the Association. Failure to do so will result in disqualification and the selection of an alternate winner(s). Except where prohibited, acceptance of a prize shall constitute and signify a winner's consent to the use of his/her name, address (city and state only), voice and/or photograph for commercial, advertising and promotional purposes by the Association without further compensation, unless prohibited by law.

9. CLAIMS - By entering this Raffle, entrants affirm that they have read and accepted these Official Raffle Rules. The laws of the State of Minnesota apply to and govern this Raffle, and any claims must be raised and resolved in the State of Minnesota.

10. LIST OF WINNERS - Winners of this Raffle will be posted on the Association website (www.catholicunitedfinancial.org/raffle) on or after March 1, 2012. To obtain a list of winners, send a self-addressed, stamped envelope to: Raffle Winners, Catholic United Financial, 3499 Lexington Ave. N., St. Paul, MN 55126, or e-mail sdetlefsen@catholicunited.org. Winners will also be published in a future issue of *Our Catholic Journey* magazine.

11. COPYRIGHT - This Raffle and all accompanying materials are Copyright © 2012 Catholic United Financial. All rights reserved. All prizes logos, service marks and trademarks are the property of their respective owner(s).

12. OBTAINING RAFFLE RULES - To obtain a written copy of the rules for this Raffle, send a self-addressed, stamped envelope to: Raffle Rules, Catholic United Financial, 3499 Lexington Ave. N., St. Paul, MN 55126

13. LICENSE – This Raffle is conducted pursuant to Minnesota Lawful Gambling Exempt Permit #X-92085, which expires on March 1, 2012.

14. PROBLEM GAMBLING HELPLINE – If you have a gambling problem, you may call 1-800-333-HOPE for help.

2012 Catholic Schools Raffle Prizes

(1) Grand Prize

Choice of:		\$25,000.00
Cash Prize		
2012 Ford Escape	4 door, 5 passenger SUV	\$20,565-\$25,495
	TOTAL	\$25,000.00

(2) Second Place prizes

	Caribbean Cruise Vacation Gift Card. (Winner picks time and place with travel agency.)	TOTAL \$10,000.00
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(3) Third Place prizes

	St. Paul Get Away (gift cards for each item) - for 4 people/winner picks date	
	1 Night at St Paul Hotel (2 rooms/Dinner at The St Paul Grill/Breakfast at M Street Cafe)	\$900.00
	Tickets to Science Museum Pirates Exhibit	\$124.00
	TOTAL	\$1,024.00
	TOTAL	\$3,072.00

(6) Fourth Place prizes

	iPad from Apple with Wi-Fi and 16GB	\$534.55
	TOTAL	\$3,207.30

(8) Fifth Place prizes

	Playstation3 (320GB hard drive)/Move Motion controller/Sports Champions game	\$374.93
	TOTAL	\$2,999.44

(8) Sixth Place prizes

	Kindle Fire from Amazon (8 GB with Wi-Fi)	\$199.00
	TOTAL	\$1,592.00

(8) Seventh Place prizes

	Apple Store Gift Card	\$200.00
	TOTAL	\$1,600.00

GRAND TOTAL \$43,822.74