STATE OF SOUTH DAKOTA LIVESTOCK FINANCING STATEMENT – UCC 1 APPROVED STANDARD FORM

Secretary of State
500 E. Capitol • Pierre, SD 57501-5070 • 605-773-4422

Fee $_____

Account # _____

PLEASE TYPE THE INFORMATION ON THIS FORM ACCORDING TO ALL INSTRUCTIONS PRINTED ON THE BACK OF THE UCC 1 FORM

NOTE: Type smaller than 8 point is not acceptable. This is an example of 8 point type.

1. **LIVESTOCK OWNER NAME AND ADDRESS** insert only one livestock owner name (1a or 1b)

or	1a. ORGANIZATION'S NAME			
	1b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
1c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

2. **ASSIGNEE OF LIVESTOCK OWNER NAME AND ADDRESS** insert only one assignee name (2a or 2b)

or	2a. ORGANIZATION'S NAME			
	2b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
2c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

3. **CARETAKER'S EXACT FULL LEGAL NAME** – insert only one caretaker (3a or 3b) – do not abbreviate or combine names.

or	3a. ORGANIZATION'S NAME			
	3b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
3c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

3d. TAX ID # SSN OR EIN	ADD'S INFO RE ORGANIZATION CARETAKER	3e. TYPE OF ORGANIZATION	3f. JURISDICTION OF ORGANIZATION	3G. ORGANIZATIONAL ID#, if any ☐ NONE

4. **ADDITIONAL CARETAKER'S EXACT FULL LEGAL NAME** – insert only one caretaker name (4a or 4b) – do not abbreviate or combine names.

or	4a. ORGANIZATION'S NAME			
	4b. INDIVIDUAL'S LAST NAME	FIRST NAME	MIDDLE NAME	SUFFIX
4c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

4d. TAX ID # SSN OR EIN	ADD'S INFO RE ORGANIZATION CARETAKER	4e. TYPE OF ORGANIZATION	4f. JURISDICTION OF ORGANIZATION	4G. ORGANIZATIONAL ID#, if any ☐ NONE

5. This Financing Statement covers the following types (or items) of property: If collateral is crops, the crops described below are growing or are to be grown on, OR *if collateral is goods which are or are to become fixtures*, the below goods are affixed or to be affixed to:

Check (X) if covered: ☐ *PROCEEDS of collateral are also covered.* ☐ *PRODUCTS of collateral are also covered.*

Use the following spaces only for Farm Products requiring EFFECTIVE FINANCING STATEMENT (EFS)

FARM PRODUCT	CODE	YEAR	QUANTITY	COUNTY CODE	LOCATION IN COUNTY OR FURTHER DESCRIPTION

Pay proceeds to Caretaker and Livestock Owner unless otherwise checked: ☐ Livestock Owner only ☐ Caretaker only

Check only if applicable and check only one box. ☐ Caretaker is a TRANSMITTING UTILITY ☐ Filed in connection with a Manufactured Home Transaction – effective 30 years. ☐ Filed in conjunction with a Public-Finance Transaction – effective 30 years

_____ _____

Signature(s) of Caretaker(s) ***Signature of Livestock Owner***

Check to REQUEST SEARCH REPORT(S) on Caretaker(s) ☐ All Caretakers ☐ Caretaker 1 ☐ Caretaker 2

Number of Additional Sheets, if any:

1. **PLEASE TYPE THIS FORM.** Verify all information on the form for accuracy and correct spelling. Information will be entered on the computer and any error in the caretaker's name, social security number, taxpayer ID number, organization information, or the EFS codes entered incorrectly will result in reporting errors.
2. **SDCL 57A-9-02** requires the social security number for each individual caretaker, the caretaker's internal revenue service (IRS) employer identification number of each business, corporation, tradename, d/b/a etc., to be listed.
3. An additional fee will be charged to all standard forms containing an attachment.
4. Submit the completed and properly signed statement to the Central Filing System together with the proper filing fee*. **You must file in the state of formation of an entity, not the state of the location of the asset.** At the time of filing, the filing officer will return the original copy of the financing statement as an acknowledgement which can be used for a termination.

∗ FILING FEES: (UCC 1)

Financing Statement	*$20*
Financing Statement with Assignment	*$20*
Acknowledgement Termination	*NO FEE*

Add $2 for each additional Caretaker name.
$4 additional for each standard form with an attachment.

PLEASE NOTE: Agencies may set up an account with the Secretary of State to pre-pay filing fees. For information please contact the UCC Division of the Office of the Secretary of State at (605) 773-5006.

Livestock Owner: Complete Livestock Owner information in box 1. Be sure to complete Livestock Owner's name and complete address in 1a through 1c. If there has been a total assignment of the Livestock Owner's interest prior to filing this, form then you will complete section 2a through 2c.

Caretaker name: Enter only one Caretaker name in item 3, an organization's name (3a) or an individual's name (3b). Enter Caretaker's exact full legal name. Don't abbreviate.

Organization Caretaker. "Organization" means an entity having a legal identity separate from its owner. A partnership is an organization; a sole proprietorship is not an organization, even if it does business under a trade name. If Caretaker is a partnership, enter exact full legal name of partnership; you need not enter names of partners as additional Caretakers. If Caretaker is a registered organization (e.g., corporation, limited partnership, limited liability company), it is advisable to examine Caretaker's current filed charter documents to determine Caretaker's correct name, organization type, and jurisdiction of organization.

Individual Caretaker. "Individual" means a natural person; this includes a sole proprietorship, whether or not operating under a trade name. Don't use prefixes (Mr., Mrs., Ms.). Use suffix box only for titles of lineage (Jr., Sr., III) and not for other suffixes or titles (e.g., M.D.). Use married woman's personal name (Mary Smith, not Mrs. John Smith). Enter individual Caretaker's family name (surname) in Last Name box, first given name in First Name box, and all additional given names in Middle Name box.

For both organization and individual Caretakers: Don't use Caretaker's trade name, DBA, AKA, FKA, Division name, etc. in place of or combined with Caretaker's legal name; you may add such other names as additional Caretakers if you wish (but this is neither required nor recommended).

"Additional information re organization Caretaker" is always required. Type of organization and jurisdiction of organization as well as Caretaker's exact legal name can be determined from Caretaker's current filed charter document. Organizational ID #, if any, is assigned by the agency where the charter document was filed; this is different from tax ID #; this should be entered preceded by the 2-character U.S. Postal identification of state of organization if one of the United States (e.g., CA12345, for a California corporation whose organizational ID # is 12345); if agency does not assign organizational ID #, check box in item 3g indicating "none."

Please use the following code numbers to complete the ***FARM PRODUCTS*** *section of the form (If your product is not listed, please contact the Secretary of State's Office):*

1001 buckwheat	2016 sudan	3205 broccoli	3600 mushrooms	5604 game birds
1002 spring wheat	2100 hay	3206 cauliflower	5001 beef cattle/calves	5605 ostrich
1003 winter wheat	2200 ensilage	3207 lima beans	5002 buffalo	5701 mink and pelts
1005 straw	2300 potatoes	3208 green beans	5003 bison	5702 rabbits
2001 barley	2400 sugar beets	3209 garbanzo beans	5101 sheep & lambs	5703 fox and pelts
2002 rye (including triticale)	2500 dry beans	3210 carrots	5102 wool	5704 lynx, bobcat, pelts
2003 oats	2600 sweet corn	3211 turnips	5103 goats	5705 coyote & pelts
2004 sorghum grain	2700 onions	3212 asparagus	5104 llamas	5750 dogs
2005 flaxseed	2800 mint	3213 spinach & collards	5200 hogs	5751 cats
2006 safflower	2901 popcorn	3214 pumpkin & squash	5301 dairy cattle	5752 gerbils
2007 field corn	2902 sunflower seeds	3215 watermelon	5302 milk	5801 bees / hives
2008 alfalfa	3000 soybeans	3216 muskmelon	5303 cream	5802 honey
2009 clover	3001 field peas	3217 cantaloupe	5401 horses	5803 beeswax
2010 millet	3101 grass for seed	3301 apples	5402 mules	5901 fish
2011 milo	3102 alfalfa for seed	3302 plums	5501 chickens	6001 deer
2012 durum	3103 mustard	3401 strawberries	5502 eggs	6002 elk
2013 rape seed	3201 green peas	3402 raspberries	5601 turkeys	6100 worms
2014 canola	3202 tomatoes	3501 sod	5602 ducks	6201 cattle semen
2015 cane	3203 lettuce	3502 nursery stock (trees & shrubs)	5603 geese	6202 horse semen
	3204 cucumbers	3503 flowers & potted plants		

YEAR: For a crop grown in soil, the calendar year in which it is harvested or to be harvested. For animals, the calendar year in which they are born or acquired. For poultry or eggs, the calendar year in which they are sold or to be sold. If "year" and "quantity" are left blank, perfection continues for all crops for every year the statement is effective, and for all crop years during the effective period of the statement.

QUANTITY: Number of bushels, head of livestock, or other commonly used identifier. Specify the units.

COUNTY CODE: Use the code below which is the same as the license plate county code. The county where produced and where located should be listed.

Aurora 10	Corson 20	Hamlin 32	Lincoln 44	Roberts 55
Beadle 04	Custer 21	Hand 33	Lyman 45	Sanborn 56
Bennett 11	Davison 08	Hanson 34	McCook 46	Shannon 65
Bon Homme 12	Day 22	Harding 35	McPherson 47	Spink 57
Brookings 06	Deuel 23	Hughes 36	Marshall 48	Stanley 58
Brown 03	Dewey 24	Hutchinson 37	Meade 49	Sully 59
Brule 13	Douglas 25	Hyde 38	Mellette 50	Todd 67
Buffalo 14	Edmunds 26	Jackson 39	Miner 51	Tripp 60
Butte 15	Fall River 27	Jerauld 40	Minnehaha 01	Turner 61
Campbell 16	Faulk 28	Jones 41	Moody 52	Union 62
Charles Mix 17	Grant 29	Kingsbury 42	Pennington 02	Walworth 63
Clark 18	Gregory 30	Lake 43	Perkins 53	Yankton 07
Clay 19	Haakon 31	Lawrence 09	Potter 54	Ziebach 64
Codington 05				

LOCATION IN COUNTY: If the "location in county" portion of the EFS is left blank, the statement will pertain to all of the product in the listed county. This can be used for further description of the collateral.