

RECEIVED  
SEP 18 2013  
S.D. SEC. OF STATE

Secretary of State Office  
500 E Capitol Ave  
Pierre, SD 57501  
(605)773-2797

# APPLICATION FOR CERTIFICATE OF AUTHORIZATION TO PROVIDE POSTSECONDARY EDUCATION

Please mark the appropriate box:

- INITIAL APPLICATION
- CHANGE OF PRIMARY ADDRESS
- CHANGE OF NAME
- CHANGE IN ADDITIONAL SITES (ATTACHMENT A)
- CHANGE IN ACCREDITATION
- OTHER CHANGE(S)

1. Name of Applicant (*the institutional name under which postsecondary educational programs are provided*):

Northern Arizona University

2. Applicant's Main Address (*Additional sites listed on Attachment A*):

Babbitt Administrative Building, P.O. Box 4092

(Street Address)

Flagstaff

(City)

Arizona

(State)

86011

(ZIP Code)

www.nau.edu

(Website)

3. Contact Person:

Shari Miller

(Name)

928-523-9520

(Telephone Number)

shari.miller@nau.edu

(Email Address)

Compliance Manager for State Authorization

(Title)

928-523-6810

(Fax Number)

4. Does the Applicant operate at other sites than the address stated above?  YES  NO

If "YES", please be advised that Attachment A to this Application must be completed, which shall comprise part of this Application, and any subsequent changes to the information provided in Attachment A must be submitted with a revised Application to the Secretary of State Office, within thirty (30) days of such change.

5. Does the Applicant have a parent organization (*non-profit, corporate, or otherwise*)?  YES  NO

If "YES", please indicate the following:

\_\_\_\_\_  
(Parent Organization Name)

\_\_\_\_\_  
(Street Address)

\_\_\_\_\_  
(City) (State) (ZIP Code)

6. Is the Applicant an instrumentality of the State under the jurisdiction of the South Dakota Board of Regents?

YES  NO

If "NO", please indicate whether the Applicant is either (*check one of the following*):

An instrumentality of another state (please list the state agency which has jurisdiction over Applicant)

State Arizona Agency Board of Regents

Address 2020 N. Central Avenue, Suite 230

City Phoenix State AZ Zip Code 85004-4593

Contact Phone Number 602-229-2500

Contact Website www.azregents.edu

Legally established to operate in South Dakota as a private business entity

South Dakota Corporate ID \_\_\_\_\_

South Dakota Corporate Name \_\_\_\_\_

Legally established to operate in South Dakota as a not-for-profit corporation.

South Dakota Corporate ID \_\_\_\_\_

South Dakota Corporate Name \_\_\_\_\_

7. Is the Applicant accredited by an accrediting agency recognized by the United States Department of Education?

YES

Accrediting Agency: Higher Learning Commission

230 South LaSalle Street, Suite 7-500

\_\_\_\_\_  
(Street Address)

Chicago IL 60604-1411

\_\_\_\_\_  
(City) (State) (ZIP Code)

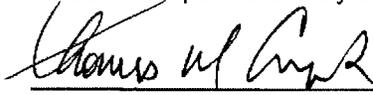
Effective date of most recent grant of accreditation: 2007-2008  
Term or expiration date of most recent accreditation: 2017-2018

NO Application submission must include documentation of an affiliation agreement whose terms make another postsecondary institution, which is accredited by an accrediting agency recognized by the United States Department of Education, responsible for awarding academic credit and educational credentials to its students and maintaining transcripts for such students:

The undersigned acknowledges that Applicant is required to notify the Secretary of State Office within thirty (30) days of a change in information set forth in this Application, including any changes in information set forth in any Attachments or other accompanying information. The undersigned has executed the foregoing document and, under penalties of perjury, certifies that the information provided herein, and in support thereof, is true and correct.

The application must be signed by an authorized officer of the postsecondary educational institution:

Dated September 18, 2013

  
\_\_\_\_\_  
(Signature of an authorized officer)  
**Thomas M. Carpenter**  
\_\_\_\_\_  
(Printed name)  
**Assistant Vice President of Extended Campuses**  
\_\_\_\_\_  
(Title)

**Submit Application to:**  
South Dakota Secretary of State  
Corporations Division  
500 East Capitol, Suite 204  
Pierre, SD 57501

Or email us at:  
[SOS.EDU@state.sd.us](mailto:SOS.EDU@state.sd.us)

**Exemptions**

- If the institution falls under one or more of the following categories, the institution is exempt from registering.
- Established by the government of the United States;
  - Established by the government of an Indian tribe whose tribal lands are located, in whole or in part, in South Dakota;
  - Established, owned, controlled, operated, and maintained by a religious organization lawfully operating as a nonprofit religious corporation and awarding only religious degrees or certificates for the purpose of conferring clerical status or authority within that religion; or
  - Subject to the jurisdiction and regulations to the South Dakota Cosmetology Commission.

# ATTACHMENT A

## ADDITIONAL SITES AT WHICH APPLICANT OPERATES EDUCATIONAL PROGRAMS

### **NAU-Apache Junction**

805 S. Idaho Rd.,  
Apache Jct., AZ 85219

### **NAU-Aravaipa**

CAC Aravaipa Campus  
80440 E. Aravaipa Rd., A-8  
Winkelman, AZ 85192

### **NAU-Bullhead City**

Mohave CC  
3400 Hwy. 95, Rm. 203A  
Bullhead City, AZ 86442

### **NAU-Chandler/Gilbert**

Chandler/Gilbert CC  
2626 E. Pecos Rd., Jacaranda Hall  
Chandler, AZ 85225

### **NAU-Chinle**

Tseyi Shopping Center  
Highway 191-Box 1923  
Chinle, AZ 86503

### **NAU @ the Communiversity**

15950 W. Civic Center Plaza  
Surprise, AZ 85374

### **NAU-East Valley**

Mesa CC Downtown  
145 N. Centennial Way, 4th Floor  
Mesa, AZ 85201

### **NAU-Ft. Defiance**

PO Box 1923  
Chinle, AZ 86503

### **NAU-Ganado**

PO Box 1923  
Chinle, AZ 86503

## ATTACHMENT A

### ADDITIONAL SITES AT WHICH APPLICANT OPERATES EDUCATIONAL PROGRAMS

#### **NAU-Gateway**

Gateway CC  
108 N. 40th Street  
Phoenix, AZ 85034

#### **NAU-Glendale**

Glendale CC  
6000 W. Olive Ave.  
Glendale, AZ 85302

#### **NAU-Kayenta**

Kayenta Business Center #205  
KFS Mesa View Rd.  
PO Box 3538  
Kayenta, AZ 86033

#### **NAU-Keams Canyon**

PO Box 3893  
Tuba City, AZ 86045  
Fax 928-864-1927/41927

#### **NAU-Kingman**

Mohave CC  
1971 Jagerson Ave.  
Kingman, AZ 86401

#### **NAU-Lake Havasu**

Mchave CC  
1977 W. Acoma Blvd.  
Lake Havasu City, AZ 86403

#### **NAU-Mesa**

Mesa CC  
1833 W. Southern Ave.  
Bldg. #38 Advisement/Transfer Services  
Mesa, AZ 85202

#### **NAU-North Valley**

15301 N. 28th Ave.  
Phoenix, AZ 85053

# ATTACHMENT A

## ADDITIONAL SITES AT WHICH APPLICANT OPERATES EDUCATIONAL PROGRAMS

### **NAU-Page**

Cocconino CC  
475 Lake Powell Blvd - Front LAC Office  
PO Box 3893  
Tuba City, AZ 86045

### **NAU-Paradise Valley**

Paradise Valley CC  
18401 N. 32nd Street  
Phoenix, AZ 85032

### **NAU-Phoenix**

Phoenix College  
1202 W. Thomas Rd.  
Phoenix, AZ 85013

### **NAU-Prescott**

Yavapai College  
1100 E. Sheldon St. Box 6009  
Bldg. 1-129  
Prescott, AZ 86301

### **NAU-Scottsdale**

Scottsdale CC  
9000 E. Chaparral  
Scottsdale, AZ 85256

### **NAU-Show Low**

Northern Pioneer Campus  
1001 W. Deuce of Clubs - NPC Learning Center, Rm 102  
PO Box 3411  
Show Low, AZ 85902

### **NAU-Signal Peak**

8470 N. Overfield Rd.  
Bldg. M, CAC Advising Dept.  
Ccolidge, AZ 85128

### **NAU-South Mountain**

South Mountain CC  
7050 S. 24th Street  
NAU Bldg. # 600-603  
Phoenix, AZ 85042

## **ATTACHMENT A**

### **ADDITIONAL SITES AT WHICH APPLICANT OPERATES EDUCATIONAL PROGRAMS**

#### **NAU-Thatcher**

EAC Campus  
615 N. Stadium Ave.  
Thatcher, AZ 85552

#### **NAU-Tuba City**

30 N. Main Street – Tuba City Primary School, Rm #30  
PO Box 3893  
Tuba City, AZ 86045

#### **NAU-Tucson**

401 N. Bonita - Suite A140  
Tucson, AZ 85709-5090

#### **NAU-Tucson North**

3895 N. Business Center Dr., Suite 100  
Tucson, AZ 85705

#### **NAU-Tucson North, School of Nursing**

3895 N. Business Center Dr. Suite 120  
Tucson, AZ 85705

#### **NAU-West Valley**

Estrella Mountain CC  
3000 N. Dysart Rd.  
Avondale, AZ 85323

#### **NAU-Whiteriver**

Whiteriver Unified School District  
Training Bldg. Chief Blvd.  
PO Box 3411  
Show Low, AZ 85902

#### **NAU-Yavapai**

7351 E. Civic Circle  
Prescott Valley, AZ 86314



**Higher Learning Commission**  
A commission of the North Central Association

230 South LaSalle Street, Suite 7-500 Chicago, IL 60604-1411  
312-263-0456 800-621-7440 Fax: 312-263-7462 ncahlc.org

September 11, 2013

To Whom It May Concern:

Northern Arizona University is accredited by the Higher Learning Commission at the Bachelor's, Master's, and Doctor's degree-granting levels and has been continuously accredited since 1930. The enclosed Statement of Affiliation Status provides additional details about the accreditation relationship of the Higher Learning Commission to this institution.

If you have questions regarding the status of the institution in the future, please see our Web site at [www.ncahlc.org](http://www.ncahlc.org). If you have further questions at this time, please feel free to contact Karen Solinski, the Vice President for Legal and Governmental Affairs (<[solinski@hlcommission.org](mailto:solinski@hlcommission.org), 312-263-0456 ext. 111).

Sincerely,

A handwritten signature in black ink, appearing to read "Patricia Newton-Curran".

Patricia Newton-Curran  
Director of Accreditation Operations

Enclosure

## STATEMENT OF AFFILIATION STATUS

NORTHERN ARIZONA UNIVERSITY  
P.O. Box 4092 Babbitt Administrative Bldg  
Flagstaff, AZ 86011

*Affiliation Status:* Candidate: Not Applicable  
Accreditation: (1930- .)

### Nature of Organization

*Control:* Public  
*Degrees Awarded:* Bachelor's, Master's, Doctoral

### Conditions of Affiliation:

*Stipulations on Affiliation Status:* The institution has been approved to offer direct assessment competency-based programs in the Bachelor of Arts/ Bachelor of Science in Small Business Administration, the Bachelor of Arts/Bachelor of Science in Computer Information Technology, and the Bachelor of Arts/Bachelor of Science in Liberal Arts.

*Approval of New Additional Locations:* The Commission is applying changes to stipulations for notification of location approval to align with the Institutional Change Policy. Some institutions are currently under review to ensure conformity with the policies. This entry will be updated in the coming months following completion of that review.

*Approval of Distance and Correspondence Courses and Programs:* The institution has been approved under Commission policy to offer up to 100% of its total degree programs through distance education. The processes for expanding distance education are defined in other Commission documents.

*Accreditation Activities:* Progress Report: 11/15/2013; A report on enrollments in the Personalized Learning programs, staffing levels, and progress in relationship to Department of Education approval and access to Title IV funds.

Progress Report: 05/15/2014; A report on enrollments in the Personalized Learning programs, staffing levels, and progress in relationship to Department of Education approval and access to Title IV funds.

Change Visit: 2014 - 2015; A visit (a) to evaluate the continued development and implementation of the Personalized Learning program, (b) to affirm its current quality and the capacity to sustain that quality, (c) to evaluate staffing and capacity in relationship to the proposed competency-based framework, and (d) to monitor progress in achieving approval by the Department of Education and access to Title IV funding. The change visit will occur in Fall 2014 or earlier if the institution reaches an enrollment of 450 students or more.

## STATEMENT OF AFFILIATION STATUS

### Summary of Commission Review

<i>Year of Last Reaffirmation of Accreditation:</i>	2007 - 2008
<i>Year for Next Reaffirmation of Accreditation:</i>	2017 - 2018
<i>Last Date of Information Change:</i>	05/20/2013

#### *Name Change:*

Arizona State College to Northern Arizona University (1966)

#### *Academy Participation:*

Northern Arizona University-Yuma: Participating in the Academy for Assessment of Student Learning.