RECEIVED
JAN 22 2014
S.D. SEC. OF STATE

Secretary of State Office
500 E Capitol Ave
Pierre, SD 57501
(605)773-2797

APPLICATION FOR CERTIFICATE OF AUTHORIZATION TO PROVIDE POSTSECONDARY EDUCATION

Please mark the appropriate box:

- ☒ INITIAL APPLICATION
- ☐ CHANGE OF PRIMARY ADDRESS
- ☐ CHANGE OF NAME
- ☐ CHANGE IN ADDITIONAL SITES (ATTACHMENT A)
- ☐ CHANGE IN ACCREDITATION
- ☐ OTHER CHANGE(S)

1. Name of Applicant (*the institutional name under which postsecondary educational programs are provided*):
   University of Alabama at Birmingham

2. Applicant's Main Address (*Additional sites listed on Attachment A*):
   1720 2nd Avenue South
   (Street Address)
   Birmingham (City) | Alabama (State) | 35294 (ZIP Code)
   www.uab.edu
   (Website)

3. Contact Person: Dr. Lisa Reburn (Name) | Project Director eLearning & Professional Studies (Title)
   205-934-3258 (Telephone Number) | 205-975-4240 (Fax Number)
   lreburn@uab.edu
   (Email Address)

4. Does the Applicant operate at other sites than the address stated above? ☐ YES ☒ NO

   If "YES", please be advised that Attachment A to this Application must be completed, which shall comprise part of this Application, and any subsequent changes to the information provided in Attachment A must be submitted with a revised Application to the Secretary of State Office, within thirty (30) days of such change.

5. Does the Applicant have a parent organization (*non-profit, corporate, or otherwise*)? ☒ YES ☐ NO

If "YES", please indicate the following:

the Board of Trustees of the University of Alabama
(Parent Organization Name)

401 Queen City Avenue
(Street Address)

Tuscaloosa | Alabama | 35401
(City) | (State) | (ZIP Code)

6. Is the Applicant an instrumentality of the State under the jurisdiction of the South Dakota Board of Regents?

☐ YES ☒ NO

If "NO", please indicate whether the Applicant is either (*check one of the following*):

☒ An instrumentality of another state (please list the state agency which has jurisdiction over Applicant)

State Alabama Agency Alabama Commission of Higher Education

Address 100 North Union Street

City Montgomery State Alabama Zip Code 36104-3758

Contact Phone Number 334-242-1998

Contact Website www.ache.state.al.us

☐ Legally established to operate in South Dakota as a private business entity

South Dakota Corporate ID _____

South Dakota Corporate Name _____

☐ Legally established to operate in South Dakota as a not-for-profit corporation.

South Dakota Corporate ID _____

South Dakota Corporate Name _____

7. Is the Applicant accredited by an accrediting agency recognized by the United States Department of Education?

☒ YES

Accrediting Agency: Southern Association of Colleges and Schools

1866 Southern Lane
(Street Address)

Decatur | Georgia | 30033-4097
(City) | (State) | (ZIP Code)

Effective date of most recent grant of accreditation: January 5, 2006

Term or expiration date of most recent accreditation: 2015

☐ NO Application submission must include documentation of an affiliation agreement whose terms make another postsecondary institution, which is accredited by an accrediting agency recognized by the United States Department of Education, responsible for awarding academic credit and educational credentials to its students and maintaining transcripts for such students:

The undersigned acknowledges that Applicant is required to notify the Secretary of State Office within thirty (30) days of a change in information set forth in this Application, including any changes in information set forth in any Attachments or other accompanying information. The undersigned has executed the foregoing document and, under penalties of perjury, certifies that the information provided herein, and in support thereof, is true and correct.

The application must be signed by an authorized officer of the postsecondary educational institution:

Dated 1-14-14

Ray L. Watts
(Signature of an authorized officer)

Ray L Watts, M.D.
(Printed name)

President, UAB
(Title)

Submit Application to:
South Dakota Secretary of State
Corporations Division
500 East Capitol, Suite 204
Pierre, SD 57501

Or email us at:
SOS.EDU@state.sd.us

Exemptions

If the institution falls under one or more of the following categories, the institution is exempt from registering.
- Established by the government of the United States;
- Established by the government of an Indian tribe whose tribal lands are located, in whole or in part, in South Dakota;
- Established, owned, controlled, operated, and maintained by a religious organization lawfully operating as a nonprofit religious corporation and awarding only religious degrees or certificates for the purpose of conferring clerical status or authority within that religion; or
- Subject to the jurisdiction and regulations to the South Dakota Cosmetology Commission.